

<u>Earliest Potential Date for Consideration</u>	<u>Seriatim or Vote: Proposal/Final</u>	<u>Rule</u>	<u>General Topics</u>
May 2012	Seriatim	Historical Swaps (Part 46)	Reporting
May 2012	Seriatim (Proposal)	Ownership and Control Reports (OCR)	Reporting; Large Trader
May 2012	Seriatim (Proposal)	Aggregation for Position Limits	Position Limits; (Reporting)
June 2012	Vote (Proposal)	Cross-Border Guidance	Extraterritoriality; Interaffiliate; Registration; Clearing; Capital; Margin
June 2012	Vote (Proposal)	Cross Border Relief (4c Order)	Extraterritoriality; Interaffiliate; Registration; Clearing; Capital; Margin
June 2012	Vote (Proposal)	Inter-Affiliate Exemption	Clearing
June 2012	Seriatim (Proposal)	RTO/ISO Proposed Order	Products; Trading (DCM or SEF); Clearing
June 2012	Vote	Product Definitions	Products; Jurisdiction
June 2012	Vote	End-User Exemption	Clearing
June 2012	Vote	Exemption from Clearing Requirement (4c Order)	Co-operatives; Clearing
June 2012	Vote	Implementation: Clearing Rule Phasing	Mandatory Clearing
June 2012	Vote (Proposal)	Mandatory Clearing Determinations	Mandatory Clearing; 90-Day Review
June 2012	Seriatim (Proposal)	Aggregation and Work-Up Prohibition	Trading (DCM)
July 2012	Vote	Implementation: Trading Rule Phasing	Trading (DCM & SEF)
July 2012	Vote	Core Principle 9	Trading (DCM)
July 2012	Vote	SEF Core Principles	Trading (SEF)
July 2012	Vote	Made Available to Trade	Trading (SEF); Mandatory Trading
July 2012	Vote	Segregation for Uncleared Swaps	Clearing; Segregation and Bankruptcy
July 2012	Vote (Proposal)	201(f) Proposed Order	Products; Trading (DCM or SEF); Clearing
August 2012	Vote	Conforming Amendments (I, II, III)	Entity Definitions (Floor Broker); Trading (Best Execution)
August 2012	Seriatim (Proposal)	Associated Persons & Other Registrants	Registration
August 2012	Vote	Internal Business Conduct II	Portfolio Compression & Netting
August 2012	Vote	Harmonization: RICs = CPOs	CPO/CTA; Registration
September/October 2012	Vote	Block Trades	Trading (SEF)
Fall 2012	Vote	Identity Theft Red Flags	Title X of Dodd-Frank; Consumer Protection
Fall 2012	Vote	SIDCOs: Financial Resources	Clearing; International Coordination
Fall 2012	Vote (Proposal)	Customer Protection Proposal	Segregation and Bankruptcy; Response to MF Global
Fall 2012	Vote	Reporting: Clean-Up	Reporting; Parts 43, 45, 46 and 49
Fall 2012	Vote	Conflicts of Interest & Governance	Trading (DCM & SEF); Clearing (DCO); Ownership; Governance
Fall 2012	Vote	Volcker Rule	Entity Definitions; Trading; Jurisdiction
Fall 2012	Vote	Capital for Non-Banks	Entity Definitions; Capital; Margin
Fall 2012	Vote	Margin for Non-Banks	Entity Definitions; Capital; Margin

	Foundational Rules: Products; Jurisdiction; Implementation; Extraterritoriality; Market-Wide Requirements
	Clearing (Including Segregation & Bankruptcy)
	Trading
	Intermediary Regulation (Other than Segregation and Bankruptcy)
	Reporting
	Position Limits