

Sherry Xiao

Senior Associate

Hong Kong

Biography

As a member of our financial institutions team, Sherry specializes in financial services transactions and regulatory work. She supports banking, fund, insurance and brokerage clients on day-to-day legal issues involving compliance, corporate and commercial, employment and data privacy matters.

Working closely with in-house counsel at banks, funds and brokerage firms, Sherry has an in-depth understanding of the Banking Ordinance and the Securities and Futures Ordinance in Hong Kong, as well as other financial services regulatory framework such as the rules and guidelines issued by the Securities and Futures Commission (SFC) and the Hong Kong Monetary Authority (HKMA).

Another area of which she has extensive advisory experience is advising on employment issues arising from corporate transactions such as transfer of employment issues, cross border secondment arrangements, employment benefits schemes and termination of employees.

Representative experience

Advising Prudential on the domestication of its Hong Kong branch insurance business, including the US\$21 billion transfer of its life insurance business and



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Languages

English
Cantonese
Mandarin

Practices

Financial Services
Insurance
Commercial
Employment
Investment Funds

Industries

Financial Institutions

transfer of its general insurance business to new locally incorporated insurers.

Advising Tencent on various regulatory issues arising from the launch of an online brokerage App by a brokerage firm and a WeChat account and preparing account opening documentations for the brokerage App.

Advising various global corporations (including a global leader in inter-dealer broking and an overseas clearing house) on regulatory requirements under the Securities and Futures Ordinance (SFO) in respect of their online platforms.

Preparing and updating banking/brokerage documents for Hong Kong banks and brokerage firms to ensure compliance with new regulatory changes such as the Fund Manager Code of Conduct.

Advising global and Hong Kong insurers on a wide range of regulatory issues in Asia including outsourcing arrangements, distribution of insurance through online models, general market admission, product development and bancassurance.

Advising on employment issues arising from a number of cross-border M&A transactions for multinational corporations such as Dell, Kodak and LabCorp.

Advising a Swiss bank on acquiring a Hong Kong fund manager and insurance broker.

Latest thinking and events

- Hogan Lovells Publications
 - Changes in insurance regulation: Mainland China/Hong Kong/Singapore/Indonesia *Insurance Alert*
- Hogan Lovells Publications
 - Hong Kong opens the door to insurance-linked securities business *Corporate and Finance Alert*
- Hogan Lovells Publications

Insurance

Education and admissions

Education

P.C.LL., University of Hong Kong

LL.B., University of Hong Kong

Business Administration Degree, University of Hong Kong

Bar admissions and qualifications

Hong Kong

Court admissions

Hong Kong

- We are all in this together: navigating employment issues during COVID-19 *Employment Alert*
- Insights
 - Changes in insurance regulation: Mainland China / Hong Kong / Singapore / Indonesia / Vietnam
- Hogan Lovells Publications
 - Changes in insurance regulation: Mainland China / Hong Kong / Singapore / Indonesia / Vietnam (2019: Q3 & Q4)
- Press Releases
 - Hogan Lovells advises Allianz on the acquisition of a majority stake in ControlExpert