

Dominic Hill

Consultant

London

Biography

Dominic Hill is a consultant in the Financial Institution Group in London, having previously been a partner in this team. He works with banks, investment firms, insurance companies and other financial institutions across all areas of financial services. His work includes regulatory advice, product design, compliance reviews, governance, strategic arrangements and outsourcings.

Dominic has recently been involved in the development, with the International Regulatory Strategy Group, of a number of important industry reports relating to Brexit, including:

- A new basis for access to EU/UK financial services post Brexit (published September 2017)
- Mutual recognition – a basis for market access after Brexit (published April 2017)
- The EU's third country regimes and alternatives to passporting (published January 2017)

Representative experience

Numerous financial institutions on the implications of Brexit and on the development and implementation of their contingency plans.

Several financial institutions on their regulatory change projects, including in particular for MiFID II and the



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Languages

English

Practices

Financial Services

Industries

Financial Institutions

Areas of focus

Dealing with Financial Services
Regulators

Institutional Investors

AIFM Directive.

Several financial institutions on their relationships with regulators, including in relation to requests for information and potential investigations.

Pension funds on the terms of its investment management and custody arrangements with third parties.

A UK bank on applying to the PRA for waivers relating to regulatory capital.

UK financial institutions on their responses to FCA policy statements and market studies.

A UK investment firm on the share of its share dealing platform.

The development of several crowdfunding propositions.

A UK wealth manager on the development of its customer facing terms and conditions

A US corporation in relation to the UK regulatory perimeter and how to conduct regulated activities in the UK without needing a licence.

A UK financial institution on the development of guidelines for dealing in complex financial products.

An insurance company on the terms of its agreements with third party distributors.

An insurance company on its customer terms for a complex drawdown product.

Latest thinking and events

■ News

- COVID-19: Measures taken by financial supervisory authorities in certain jurisdictions as at 15 May 2020

■ News

- COVID-19: Measures taken by financial supervisory

Education and admissions

Education

B.A., University of Oxford, Mansfield College

authorities in certain jurisdictions as at 15 May
2020

- News

- COVID-19: Measures taken by financial supervisory authorities in certain jurisdictions as at 5 June 2020

- Analysis

- Operational Resilience in Financial Services

- News

- COVID-19: Measures taken by financial supervisory authorities in certain jurisdictions as at 1 May 2020

- Hogan Lovells Engage

- FCA confirms permanent restrictions on the sale of CFDs and CFD-like options to retail consumers