



Douglas B. Paul

Partner

Washington, D.C.

Biography

Douglas B. Paul represents companies, boards of directors, associated committees, and individuals facing potential civil and criminal liability in allegations of fraud, including securities fraud, healthcare fraud, and corruption. He advocates for clients in enforcement-related investigations and proceedings before the Securities and Exchange Commission (SEC), Department of Justice (DOJ), Financial Industry Regulatory Authority (FINRA), and other federal and state authorities.

He works closely with companies in developing, implementing, and improving ethics and compliance policies and procedures. Much of his work focuses on issues such as accounting and disclosure fraud, insider trading, foreign corruption, healthcare fraud, market manipulation, and breach of fiduciary duty. Douglas represents corporate clients in internal investigations and provides guidance on corporate governance.

Douglas' career has spanned government service and private practice, giving him insight into the varied challenges facing the clients he now represents. As a Branch Chief in the SEC's Division of Enforcement, Douglas exercised direct supervisory authority over the SEC's investigation into Enron Corp., a far-reaching and complex accounting fraud case. Douglas also conducted and supervised investigations involving



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Practices

Investigations

Litigation

Privacy and Cybersecurity

Corporate Governance

Government Contracts

Health Law

Financial Services

Corporate and Shareholder
Litigation

Industries

Financial Institutions

Life Sciences and Health Care

unlawful conduct, including accounting and disclosure fraud, market manipulation, the sale of securities in unregistered transactions, and insider trading. It was in this environment that Douglas developed a knack for being a problem-solving advocate seeing the interplay between public policy, business realities, and the law.

Prior to working at the SEC, Douglas served as an assistant independent counsel prosecuting public corruption. He began his career as a judicial clerk for Chief Judge John Garrett Penn, U.S. District Court, District of Columbia.

Representative experience

Represent Chief Compliance Officer of an investment adviser in SEC investigation.

Represent a leading infrastructure construction company in internal and SEC investigations regarding accounting and disclosure issues.

Counsel to Chairman and CEO of technology company in SEC investigation regarding financial fraud.

Counsel to asset management company and investment advisor in SEC investigations.

Counsel to one of the world's leading business information companies in an FCPA investigation in China.

Counsel to orthopedic medical device companies major developer of retail centers in FCPA investigations in Mexico.

Counsel to executive of one of the largest international oil and natural gas service companies in an FCPA investigation in the Middle East and Africa.

Counsel to Audit Committee of pharmaceutical company in SEC, DOJ, and Health and Human Services (HHS) whistleblower investigation.

Energy and Natural Resources

Diversified Industrials

Areas of focus

Anti-money Laundering

Bribery and Corruption

Congressional Oversight and Investigations

Financial Services Regulatory Investigations and Enforcement

Sanctions

White Collar Crime and Fraud

Class Actions and Group Litigation

Commercial Litigation

Financial Services Litigation

Breach Preparedness, Response, Investigation, and Communication

E-discovery and Information Management

Disclosure and Reporting Obligations

Regulatory Authorization and Financial Institutions

Medical Devices

Pharmaceutical and Biotechnology

Education and admissions

Education

J.D., cum laude, American University Washington College of Law, 1990

Awards and rankings

- Director's Award, *Federal Bureau of Investigation*, 2006
- Chairman's Award, *U.S. Securities and Exchange Commission*, 2005

Latest thinking and events

- Hogan Lovells Publications
 - Implications from Digital Realty Trust, Inc. v. Somers *Hogan Lovells*
- Published Works
 - SEC announces whistleblower award for government employee *Corporate Governance Advisor (Vol. 25, No. 6)*
- Hogan Lovells Publications
 - SEC announces whistleblower award for government employee *SEC Update*
- Hogan Lovells Publications
 - Supreme Court Limits SEC Disgorgement Orders to a Five-Year Statute of Limitations *SEC Update*
- Sponsorships and Speaking Engagements
 - The Securities Enforcement and Litigation Trends and Developments in 2016
- Hogan Lovells Publications
 - The SEC Updates Enforcement Manual for the Submission of White Papers and How They Can be Used Against Defendants

B.A., high honors, University of Denver, 1985

Bar admissions and qualifications

California (inactive)

District of Columbia
